



Amendment - 09/559,320

IN THE U.S. PATENT AND TRADEMARK OFFICE

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| <b>Application of:</b> McCabe et al.   | <b>Group Art Unit:</b> 3624                                   |
| <b>Application No.:</b> 09/559,320   | <b>Examiner:</b> Daniel S. FELTEN                             |
| <b>Filing Date:</b> April 27, 2000   | Old Atty Dkt. No. 10449-0003<br>New Atty Dkt. No. B208-1010.1 |
| <b>Title:</b> Derivative Securities Trading Product Utilizing Subsets of Indices of Portfolios |   |

**AMENDMENT AND RESPONSE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

In response to the Office Action mailed May 7, 2003, please enter the following amendments and remarks:

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being sent by first class United States Mail, to the Commissioner for Patents, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on June 30, 2003.

*Kathleen J. Farrar*  
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